



2022

AL8547: ALABAMA INCOME TAX PAPER CONTENT & BARCODE TEST PACKAGE

For the Following Form Types: 40A, 40, 40NR, A-1, A-3, A-4, A-4MS, A-6, WNR-V, 20C, 20C-C, 20C-CRE, ET-1, ET-1C, CPT, PPT, 20S, 65, PTE-C, EPT, and 41

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Important!! Paper Content and Barcode testing for Tax Year 2022 will open on 9/1/2022 for Business Privilege Tax returns and vouchers. For all other tax forms, testing will open on 10/1/2022. We will close testing on 12/30/2022 with no extensions and no exceptions.

*Forms Coordinator Review – Vendor must submit a complete test package which includes all department required test scenarios by tax type. The returns submitted must be error free and ready for final forms coordinator review. No partial packages or returns with errors will be accepted.

Important Testing Dates for TY2022/2023

- **9/01/2022** – Testing Opens for Vouchers and Business Privilege Tax Returns
- **10/01/2022** - Testing Opens for all other form types
- **11/1/2022** – All Vendors must begin Forms Coordinator Review for Approval
- **11/15/2022**- Last day for vendors to submit original packages for review
- **12/30/2022** – Testing Ends with NO extensions

*All Above Dates are subject to Change.

Required test package information

All applications and test submissions should be sent to the following: icforms.officer@revenue.alabama.gov

The subject line of each email must contain your Vendor Code and the nature of your request. For example, 123 – Resubmission Barcode Approval for the Form 40. The body of the email should contain what form you are requesting to be approved, any unsupported forms/schedules and list any limitations associated with this form testing. **Note: All Limitations and unsupported forms should have been approved on the LOI-P.** The attachments on the email must have the approval sheet, (1) blank test package and all tested scenarios.

Each test package must be submitted in one pdf document as shown in our Processing Guide that is located on SES. All test scenarios per tax type should be submitted in one email. For example, all Form 40A and 40A W2 test scenarios should be submitted in the same email. For large submissions, zip the attachments to send in one mail. Do not send a Part 1 email and a Part 2 email.

Submit each test package in the following order for each tax type. Example, the Form CPT test package should include the Form CPT, Schedule G, Schedule AL-CAR, Worksheet BPT-NW, and BPT-V. If you do not support a form listed in the order, omit that form and continue order as noted below. As a reminder, any unsupported form must have the barcode fields accounted for in the 2D Barcode.

All forms not supported are required to be included on your LOI-P.

Business Privilege Tax:

Required Forms/Schedules:

Form BPT-IN - Business Privilege Tax Initial Privilege Tax Return

- BPT-V - Business Privilege Tax Payment Voucher

Form CPT - Business Privilege Tax Return and Annual Report

- Schedule AL-CAR - Secretary of State Corporation Annual Report
- Worksheet BPT-NW - Balance Sheet- Net Worth Computation
- BPT-V - Business Privilege Tax Payment Voucher

Form PPT - Business Privilege Tax Return and Annual Report

- Schedule AL-CAR - Secretary of State Corporation Annual Report
- Worksheet BPT-NWI - Balance Sheet- Net Worth Computation for Disregarded Entities with Individual Single Member Only
- Worksheet BPT-NW - Balance Sheet- Net Worth Computation
- BPT-V - Business Privilege Tax Payment Voucher

Optional Forms/Schedules:

- Schedule G - Financial Institution Group Computation
- Schedule BPT-E - Family Limited Liability Entity Election Form

Corporate Tax:

Required Forms/Schedules:

Form 20C - Corporation Income Tax Return

- Schedule FTI- Schedule of Adjustments to Federal Taxable Income
- Schedule CP-B- Composite Payments
- Schedule AB - Corporate Add Back Form
- Schedule BC - Business Credits Computation
- Schedule B-1 - Alabama Net Operating Loss Carryforward Acquisitions
- Schedule KRCC-B – Recipient’s Share of Capital Credit for Business Entities, Including Trusts
- Schedule OZ- Gains Invested in Qualified Opportunity Zone Funds
- BIT-V - Business Income Tax Payment Voucher

Form 20C-C - Consolidated Corporate Income Tax Return

- BIT-V - Business Income Tax Payment Voucher

Optional Forms/Schedules:

- 2220AL- Underpayment of Estimated Tax of Corporations
- Form 20C-CRE - Elect on to File Consolidated Corporate Income Tax Return

Fiduciary Tax:

Required Forms/Schedules:

Form 41-Fiduciary Income Tax Return

- Schedule D-Profit or Loss from Sales of Assets
- Schedule E-Supplemental Income and Loss
- Schedule ESBT-Worksheet for Electing Small Business and Qualified Subchapter S Trusts
- Schedule K-1(41)-Fiduciary Income Tax Beneficiary Information
- Schedule G-Grantor Statement of Income, Deductions, Credits
- Schedule FC-Fiduciary Credits
- Schedule NOL-F85-Computation of Net Operating Loss
- Schedule NOL-F85A-Application of Net Operating Loss, Carryback and Carryforward
- Form KRCC-Project/Distributing Entity Share of Capital Credit
- Schedule KRCC-B-Recipient's Share of Capital Credit For Business Entities, Including Trusts.
- Schedule CP-B-Composite Payments/Electing Pass-Through Entity Credits
- FDT-V-Fiduciary Income Tax Payment Voucher

Optional Forms/Schedules:

- Form 4952A-Investment Interest Expense Deduction
- EST-1-Application for Estate Tax Waiver

Financial Institution Excise Tax:

Required Forms/Schedules:

Form ET-1 - Financial Institution Excise Tax Return

- Schedule FTI- Schedule of Adjustments to Federal Taxable Income
- Schedule CP-B- Composite Payments
- Schedule EC - Excise Credits
- Schedule KRCC-B- Recipient's Share of Capital Credit for Business Entities, Including Trusts
- Schedule B-1 - Alabama Net Operating Loss Carryforward Acquisitions
- Schedule PCL- Consolidated Parent Company Loss Allocation Schedule
- Schedule OZ- Gains Invested in Qualified Opportunity Zone Funds
- FIE-V - Financial Institution Excise Tax Payment Voucher

Form ET-1C- Consolidated Financial Institution Excise Tax Return

- FIE-V - Financial Institution Excise Tax Payment Voucher

Optional Forms/Schedules:

- Form 2220E- Underpayment of Estimated Tax for Financial Institutions
- Form ET-C- Election to File Consolidated Financial Institution Excise Tax Return

Individual Income Tax:

Required Forms/Schedules:

Form 40A – Individual Income Tax Return (Short Form)

- Schedule W-2 – Wages, Salaries, Tips, etc.
- 40V – Individual Income Tax Payment Voucher

Form 40 - Individual Income Tax Return

- Schedule A – Itemized Deductions
- Schedule B & DC– Interest and Dividend Income / Donation Check-Offs
- Schedule DS – Dependents Schedule
- Schedule HOF – Head of Family Schedule
- Schedule ATP- Additional Taxes & Penalties
- Schedule HBC – First Time Second Chance Home Buyer Savings Account Deduction
- Schedule CR – Credits for Taxes Paid to Other States
- Schedule OC – Other Available Credits
- Schedule AATC – Alabama Accountability Tax Credit
- Schedule AAC – Alabama Adoption Tax Credit
- Schedule KRCC-I – Recipient’s Share of Capital for Individual Taxpayers
- NOL-85 – Computation of Net Operating Loss
- NOL-85A – Net Operating Loss Carryback or Carryforward
- Schedule W-2 – Wages, Salaries, Tips, etc.
- Schedule CP – Composite Payments
- Schedule D – Net Profit or Loss
- Schedule E – Supplemental Income and Loss
- 40V – Individual Income Tax Payment Voucher

Form 40NR – Individual Non-Resident Return

- Schedule A – Itemized Deduction
- Schedule B, D, & E – Interest and Dividend Income /Profit from Sale of Real Estate, Stocks, Bonds, etc./ Income from Rents, Royalties, Partnerships, Estates, Trust, and S Corporation
- Schedule DS – Dependents Schedule
- Schedule HOF – Head of Family Schedule
- Schedule OC – Other Available Credits
- Schedule AATC – Alabama Accountability Tax Credit
- Schedule AAC – Alabama Adoption Tax Credit
- Schedule KRCC-I – Recipient’s Share of Capital for Individual Taxpayers
- NOL-85 – Computation of Net Operating Loss
- NOL-85A – Net Operating Loss Carryback or Carryforward
- Schedule W-2 – Wages, Salaries, Tips, etc.
- Schedule CP – Composite Payments
- 40V – Individual Income Tax Payment Voucher

Optional Forms/Schedules:

- 4952A – Investment Interest Expense Deduction
- 40ES – Estimated Tax

- 2210AL – Underpayment of Estimated Tax by Individuals
- EOO – Alabama E-file Opt Out Election Form

Pass-Through Tax:

Required Forms/Schedules:

Form 65-Partnership/Limited Liability Company Return of Income

- Schedule K-1(65)-Owner’s Share of Income Deductions, Credits, etc.
- Schedule PAB-Add-Back Form
- Schedule QIP-C-Qualified Investment Partnership
- Schedule PC-Pass-Through Credits
- Schedule NRC-Exempt- Exempt-Subchapter K Affidavit of Exemption by Nonresident
- Schedule OZ-Gains Invested in Qualified Opportunity Zone Funds
- Form KRCC-Project/Distributing Entity Share of Capital Credit.

Form 20S- S Corporation Information/Tax Return

- Schedule K-1(20S)-Shareholder’s Share of Income, Deductions, Credits, etc.
- Schedule PAB-Add-Back Form
- Schedule PC-Pass-Through Credits
- Schedule OZ-Gains Invested in Qualified Opportunity Zone Funds
- Form KRCC-Project/Distributing Entity Share of Capital Credit
- Schedule KRCC-B-Recipient’s Share of Capital Credit For Business Entities, Including Trusts
- PTE-V-Pass Through Entity Payment Voucher

Form PTE-C-Nonresident Composite Payment Returns

- Schedule PTE-CK1(NOW Form PTE-C pages 3 and 4)
- Schedule PTE-AJA-Alabama Jobs Act-Investment Credit
- Schedule NRC-Exempt-Subchapter K Affidavit of Exemption by Nonresident
- Schedule CP-B-Composite Payments/Electing Pass-Through Entity Credits
- PTE-V-Pass Through Entity Payment Voucher

Form EPT-Electing Pas-Through Entity

- Schedule EPT-K1(NOW Form EPT pages 2 and 3)
- Schedule EPT-C- Electing Pass-Through Credits
- Schedule CP-B-Composite Payments/Electing Pass-Through Entity Credits
- PTE-V-Pass Through Entity Payment Voucher

Optional Forms/Schedules:

- Form NMC-Affordable Housing Member Consent Agreement
- Schedule NRA-Alabama Pass-Through Entity Nonresident Agreement
- 2220AL- Underpayment of Estimated Tax of Corporations
- NRA-IC -Alabama Investment Credit Nonresident Agreement
- Form PTE-R/NRA-R-Request for Relief of Composite Payment/Alabama Composite Payment Relief Nonresident Agreement

Withholding Tax:

- Form A-1 - Employer's Quarterly Return of Income Tax Withheld
- Form A-3 - Annual Reconciliation of Alabama Income Tax Withheld
- Form A-4 - Employee's Withholding Exemption Certificate
- Form A-4MS - Nonresident Military Spouse Withholding Exemption Certificate
- Form A-6 - Employer's Monthly Return of Income Tax Withheld
- WNR-V – Withholding on Sales or Transfers of Real Property and Associated Tangible Personal Property by Nonresidents Payment Voucher

Electronic Forms:

- AL8453-Individual Income Tax Declaration for Electronic Filing
- AL8453OL-Individual Income Tax Declaration for On-Line Filing
- AL8453-B-Business Privilege Tax Declaration for Electronic Filing
- AL8453-C-Corporate Income Tax Declaration for Electronic Filing
- AL8453 FDT-Fiduciary Income Tax Declaration for Electronic Filing
- AL8453-PTE-S-Corporation/Partnership Income Tax Declaration for Electronic Filing
- AI 8453- FIE - Financial Institution Excise Tax Declaration for Electronic Filing

Why Test Prior to Live Processing?

The purpose of testing prior to live processing is to ensure that:

1. Barcode returns can be read and have no errors.
2. The Alabama Revenue Department can receive and process the returns.
3. Each return reflects a unique vendor ID to correspond with each product.

Who must Test?

All vendors are required to submit their paper test forms before acceptance into the Department's paper and barcode filing program for the 2022 filing season.

Products Using the Same Engine:

The Alabama Department of Revenue fully tests every product. The Department reserves the right to test any rebranded product even if it is using the same engine.

How to Begin the Testing Approval Process:

The LOI-P must be completed, signed and emailed to icforms.officer@revenue.alabama.gov. Once the LOI-P has been approved then the ADOR will grant the vendor access to the documents on the SES (State Exchange System). Vendors in good standing will be granted access to SES prior to the LOI-P being approved.

All applications and test submissions should be sent to the following:

icforms.officer@revenue.alabama.gov

Testing Guidelines for Software Developers:

1. Each Software Vendor will be required to test all Department provided test case scenarios to adequately test schedules and return/form types supported by their software.
2. You must test the complete form for all forms you plan to support 40/40A/40NR/20C/20C-C/ET-1/ET-1C/20S/65/PTE-C/EPT/CPT/PPT/41/ A-1/A-6/A-3.

If you happen to need immediate feedback on a test submission, please contact a forms coordinator at icforms.officer@revenue.alabama.gov .We will do our best to accommodate your request.

Populating Data in the Test Cases:

1. Test scenarios will be provided to vendors for 2-D barcode testing.
2. If you do not support a schedule/field on a test return, adjust your return accordingly and notify the ADOR. If you do not support a schedule/field in the test return scenarios, you can omit the test data for the unsupported schedule/field (leave fields blank), but all barcode fields must be accounted for in the 2D Barcode. Also, include in the body of the email you send for approval the limitations that you have for each test. Please make sure this information is noted on your 2022 LOI-P. For vouchers, if you do not support a payment type or form type, you can omit that scenario from your testing. Make sure you note the limitation in the body of your email and note the limitation on your LOI-P.
3. The forms coordinator review requires that all content and data on the pdfs provided match the ADOR final form.

Reviewing Test Files and Making Corrections:

Software will only be approved once all required test scenarios for barcode are error free and readable. Paper vendors will be approved for form content and data for a particular form type.

Our goal is to maintain open lines of communication to assure the success of your software and the paper form/barcode program for the State of Alabama. You are invited to contact us with any questions or comments you have regarding paper form/barcode program.

Contact Information:

***** All communications with ADOR must be done through the Paper Forms Coordinators (Kimberly McCain, Barbara Evans, and Andrea Wyatt) *****

CONTACT:

Kimberly McCain, Forms Coordinator

Forms: Individual Income Tax and Withholding Tax

Income Tax Administration Division

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Barbara Evans, Forms Coordinator

Forms: Corporate Income Tax, Business Privilege Tax, and Financial Institution Excise Tax

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Forms: Pass Through and Fiduciary Tax

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